



A Project of the PWG IPPFAX Working Group

Universal Image Format (UIF)

IEEE-ISTO Printer Working Group
Draft Standard 5102.2-D0.78

October 1630, 2001

<ftp://ftp.pwg.org/pub/pwg/QUALDOCS/uif-spec-078.pdf>, .doc

Abstract

This standard specifies an extension to TIFF-FX known as Universal Image Format (UIF) by formally defining a series of TIFF-FX “profiles” distinguished primarily by the method of compression employed and color space used. The UIF requirements [7][uif-req] are derived from the requirements for IPPFAX [8][ifx-req] and Internet Fax [9RFC2542].

In summary UIF is a raster image data format intended for use by, but not limited to, the IPPFAX protocol, which is used to provide a synchronous, reliable exchange of image Documents between Senders and Receivers. UIF ~~is based on~~ makes reference to the TIFF-FX specification [4][RFC2301], which describes the TIFF (Tag Image File Format) representation of image data specified by the ITU-T Recommendations for black-and-white and color facsimile (see [T.4], [T.6], [T.43], [T.44], [T.81], [T.82], and [T.85]). UIF also requires the use of certain TIFF-FX extensions described fully in [tiff-fx-ext1] and summarized in this document. UIF does not specify any new TIFF tags or field values.

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1

2 **1 Introduction**

3 In summary UIF is a raster image data format intended for use by, but not limited to, the IPPFAX
4 protocol, which is used to provide a synchronous, reliable exchange of image Documents between
5 Senders and Receivers. UIF makes reference to the TIFF-FX specification [RFC2301], which
6 describes the TIFF (Tag Image File Format) representation of image data specified by the ITU-T
7 Recommendations for black-and-white and color facsimile (see [T.4], [T.6], [T.43], [T.44], [T.81],
8 [T.82], and [T.85]). UIF is different from TIFF-FX in that UIF requires the use of certain TIFF-FX
9 extensions described fully in [tiff-fx-ext1] and summarized in this document.

10 This document specifies a set of extensions to the TIFF-FX profiles defined in ~~TIFF-FX [4]~~[RFC2301]
11 that are especially suited for use with synchronous protocols (e.g., IPPFAX~~[40]~~[ifx]). The increased
12 conformance requirements found in this UIF specification reflect the need for a data format where
13 quality document transmission is the primary concern. When the profiles described in ~~TIFF-FX~~
14 ~~[4]~~[RFC2301] are used with the extensions ~~described-summarized~~ in this document and formally
15 defined in [TIFF-EXT1], the data format is known as Universal Image Format (UIF). UIF does not
16 specify any new TIFF tags or field values.

17

18 **2 Terminology**

19 This section defines the following additional terms that are used throughout this standard.

20 **2.1 Conformance Terminology**

21 The key words **MUST**, **MUST NOT**, **REQUIRED**, **SHOULD**, **SHOULD NOT**,
22 **RECOMMENDED**, **MAY**, and **OPTIONAL** in this document are to be interpreted as described in
23 ~~RFC 2119~~ [18RFC2119].

24 **2.2 Model**

25 The following terms are introduced and capitalized in order to indicate their specific meaning:

26 **Baseline Field** – One of the core set of TIFF fields introduced by the TIFF specification ~~[49]~~[TIFF]

27 **Implementation** – A Sender or Receiver

28 **Document** – The UIF-formatted electronic representation of a set of one or more pages that the Sender
29 sends to the Receiver.

30 **Extension Field** – One of the TIFF extension fields introduced by the current TIFF specification
31 ~~[49]~~[TIFF], specification, the set of PageMaker TIFF Technical Notes ~~[20]~~[TTN1], or TIFF Technical
32 Note 2 ~~[24]~~[TTN2].

33 **New Field** – One of the new TIFF fields introduced by ~~the TIFF-FX specification [4]~~[RFC2301].
34 Note that the UIF specification does not introduce any new TIFF tags or field values.

35 **Receiver** – This is the agent (software, hardware or some combination) that receives the Document
36 sent by the Sender.

1 **Sender** – This is the agent (software, hardware or some combination) that is used to create and
2 transmit a Document to a Receiver.

3 **TIFF-FX Extension** – one of the extensions to [RFC2301] specified in [tiff-fx-ext1]

4 **UIF Profile** – A TIFF-FX profile used with a specific combination of the TIFF-FX extensions that are
5 described in section 3.1.

6
7

8 **3 TIFF-FX support**

9 A UIF Document is a TIFF file that adheres to the requirements of (1) Baseline TIFF (see [4][TIFF])
10 and (2) one or more UIF Profiles. A UIF Profile ~~is based on~~uses a collection of ITU-T facsimile coding
11 methods. The UIF Profiles listed ~~below in this section~~ have been derived from ~~TIFF-FX~~
12 [4][RFC2301]. The reader is referred to this document and the TIFF-FX Extensions Set 1 document
13 [24][tiff-fx-ext1] for a complete description of each profile, as the subsections below briefly
14 summarize each UIF Profile and list only the additional TIFF-FX extensions that MUST be used.

15 Pages within a UIF Document MAY be encoded using different UIF Profiles.

16 An Implementation that supports UIF MUST support at least UIF Profile S. Note that for the TIFF
17 fields “ImageDescription”, “DocumentName”, “Software”, and “DateTime”, Adobe Baseline TIFF
18 specifies only ASCII and does not provide a language tag or alternate character set facility.

19 **3.1 New TIFF-FX Extensions**

20 ~~Five new~~The following TIFF-FX extensions are formally defined in [tiff-fx-ext1] and summarized
21 ~~introduced as described~~ in the following subsections.

22 **3.1.1 TIFF-FX Extension 20: Relaxed Image Widths and Resolutions**

23 The allowances shown below supersede the TIFF-FX requirements specified in [4][RFC2301]
24 concerning the ImageWidth, XResolution, and YResolution TIFF fields:

- 25 • If this TIFF-FX Extension is supported, then ~~the~~ ImageWidth, XResolution, and YResolution
26 TIFF fields are not constrained to the set of resolutions specified in [TIFF-FX]; however, the
27 Receiver MUST support the image width & length that are determined by the media size and
28 resolutions supported.

29 **3.1.2 TIFF-FX Extensions 21 – Required Resolution**

30 The requirement shown below supersedes the TIFF-FX requirements in [4][RFC2301] concerning the
31 XResolution, YResolution, and ResolutionUnit TIFF fields:

- 32 • If this TIFF-FX Extension is supported, then Receivers MUST support
33 XResolution=YResolution=200 and ResolutionUnit=2 (inches)

1 3.1.3 TIFF-FX Extensions 22 – Required Resolution

2 The requirement shown below supersedes the TIFF-FX requirements in [\[4\]\[RFC2301\]](#) concerning the
3 XResolution, YResolution, and ResolutionUnit TIFF fields:

- 4 • [If this TIFF-FX Extension is supported, then](#) Receivers MUST support
5 XResolution=YResolution=300 and ResolutionUnit=2 (inches)

6 3.1.4 TIFF-FX Extensions 23 – Required Resolution

7 The requirement shown below supersedes the TIFF-FX requirements in [\[4\]\[RFC2301\]](#) concerning the
8 XResolution, YResolution, and ResolutionUnit TIFF fields:

- 9 • [If this TIFF-FX Extension is supported, then](#) Receivers MUST support
10 XResolution=YResolution=400 and ResolutionUnit=2 (inches)

11 3.1.5 TIFF-FX Extensions 24 – Required Resolution

12 The requirement shown below supersedes the TIFF-FX requirements in [\[4\]\[RFC2301\]](#) concerning the
13 XResolution, YResolution, and ResolutionUnit TIFF fields:

- 14 • [If this TIFF-FX Extension is supported, then](#) Receivers MUST support
15 XResolution=YResolution=600 and ResolutionUnit=2 (inches)

16 3.1.6 TIFF-FX Extensions 25 – Required Field

17 [The requirement shown below supersedes the conformance found in \[tiff-fx-ext1\] concerning the](#)
18 [JPEGTables field \(see \[TTN2\] for a description of the JPEGTables field\):](#)

- 19 • [If this TIFF-FX Extension is supported, then Receivers MUST support the use the JPEGTables](#)
20 [Extension Field](#)

21 3.1.7 TIFF-FX Extension 26 – Required Compression

22 [The requirement shown below supersedes TIFF-FX requirements in \[RFC2301\] concerning required](#)
23 [the Compression TIFF field:](#)

- 24 • [If this TIFF-FX Extension is supported, Receivers MUST support Resolution=4 \(2-dimensional](#)
25 [MMR encoding as defined in \[T.6\]\) and T6Options=0.](#)

26

27 3.1.8 The ‘TIFF-FXExtensions’ Field

28 [\[tiff-fx-ext1\] defines a new TIFF field called ‘TIFF-FXExtensions’ which is used to identify all TIFF-](#)
29 [FX extensions. This field MUST be present when extensions are used. TIFF-FX Extensions are](#)
30 [identified by bit value assignment. The table below summarizes the TIFF-FX Extensions that directly](#)
31 [pertain to UIF and indicates which Extensions the Receiver MUST support for each profile. Bit 0](#)
32 [corresponds to the least significant bit of the 32-bit ‘TIFF-FXExtensions’ field value. The ‘UIF-](#)
33 [Profiles’ column indicates those UIF profiles for which a Receiver MUST implement a given](#)
34 [extension number.](#)

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Table 1. 'TIFF-FXExtension' Field Bit Description

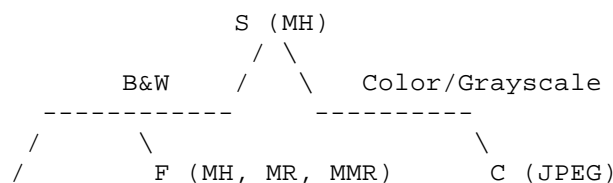
Bit Number	Extension Number	Description	Applicable UIF Profiles
<u>19</u>	<u>20</u>	<u>Relaxed Image Width & Resolutions.</u> <u>If Bit 19 is 1, then the ImageWidth, XResolution, and YResolution fields are not constrained; however, the Receiver MUST support the image width & length that are determined by media size and resolutions supported.</u>	<u>S, F, J, C, L, M</u>
<u>20</u>	<u>21</u>	<u>Required Resolution: 200dpi.</u> <u>If Bit 20 is 1, then Receivers MUST support XResolution=YResolution=200 and ResolutionUnit=2 (inches)</u>	<u>S, F, J, C, L, M</u>
<u>21</u>	<u>22</u>	<u>Required Resolution: 300dpi.</u> <u>If Bit 21 is 1, then Receivers MUST support XResolution=YResolution=300 and ResolutionUnit=2 (inches)</u>	<u>S, F, J, C, L, M</u>
<u>22</u>	<u>23</u>	<u>Required Resolution: 400dpi.</u> <u>If Bit 22 is 1, then Receivers MUST support XResolution=YResolution=400 and ResolutionUnit=2 (inches)</u>	<u>M</u>
<u>23</u>	<u>24</u>	<u>Required Resolution: 600dpi.</u> <u>If Bit 23 is 1, then Receivers MUST support XResolution=YResolution=600 and ResolutionUnit=2 (inches)</u>	<u>S, F, J</u>
<u>24</u>	<u>25</u>	<u>Required Field: 'JPEGTables'</u> <u>If Bit 24 is 1, then Receivers MUST support the use the 'JPEGTables' Extension Field</u>	<u>C, M</u>
<u>25</u>	<u>26</u>	<u>Required Compression: MMR</u> <u>If Bit 25 is 1, then Receivers MUST support Resolution=4 and T6Options=0.</u>	<u>F, M</u>

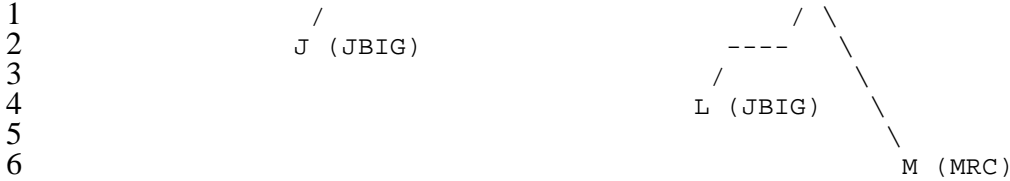
3
4

3.2 Relationships among UIF Profiles

The following tree diagram, which is adapted from [TIFF-FX\[4\]\[RFC2301\]](#), shows the relationship among UIF Profiles and between UIF Profiles and coding methods.

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8 All UIF Senders and/or Receivers **MUST** implement UIF Profile S, which is the root node of the tree.
 9 All color Senders and/or Receivers of UIF **MUST** implement UIF Profile C. Senders and/or Receivers
 10 that implement a particular profile **MUST** also implement those profiles on the path that connect it to
 11 the root node, and **MAY** optionally implement profiles not on the path connecting it to the root node.
 12 For example, a Sender and/or Receiver that implements UIF Profile M **MUST** also implement UIF
 13 Profiles C and S, and **MAY** optionally implement UIF Profile F, J or L. For another example, a
 14 Sender/Receiver that implements UIF Profile C **MUST** also implement UIF Profile S, and **MAY**
 15 optionally implement UIF Profile F or J.

17 3.3 Summary of UIF Profiles

18 The following subsections summarize Implementation requirements and list the TIFF-FX extensions
 19 that **MUST** be supported for each of the UIF Profiles. Each subsection contains one or more tables that
 20 show the TIFF fields and field values that are **REQUIRED**, **RECOMMENDED**, or **OPTIONAL** for
 21 UIF Implementations. For profiles other than UIF Profile S, single asterisks (*) and double asterisks
 22 (**) indicate the level of Receiver conformance (see the legend below each table). For profiles other
 23 than UIF Profile S, the rightmost column is used to indicate Sender conformance, i.e., those fields that
 24 a user **MUST**, **SHOULD**, or **MAY** include in the Image File Directory (IFD) of a UIF Document. For
 25 fields that a Receiver **MUST** support, note that a Sender **MUST** support at least one of the **REQUIRED**
 26 field values that the Receiver **MUST** support.

27 If there is a default value associated with a TIFF field, and the default value is a legal value for the
 28 given UIF Profile, then the Sender **MAY** choose to physically omit this field from the UIF file, as the
 29 presence of the TIFF field and its value are implied. The tables in the following subsections show
 30 default values for TIFF fields only when the default values are permitted.

31 3.3.1 UIF Profile S

32 ~~4)~~When TIFF-FX Extensions 20, 21, 22, and 24 are applied to Profile S in ~~TIFF-FX[4][RFC2301]~~, the
 33 result is UIF Profile S. UIF Profile S is modeled after Profile S of ~~TIFF-FX[4][RFC2301]~~, which
 34 describes the minimal black-and-white subset of TIFF for facsimile. Tables ~~12~~, ~~23~~, and ~~34~~ summarize
 35 the fields and field values that are **REQUIRED** for all Implementations of UIF Profile S. A UIF Profile
 36 S Implementation **MUST** use 1-dimensional Modified Huffman (MH) compression as defined in ~~ITU-~~
 37 ~~T T.4 [4][T.4]~~ and **MUST** adopt the same requirements and restrictions for Baseline Fields, Extension
 38 Fields, byte order, bit order, and image file directory (IFD) placement as stated in Section 3 of ~~TIFF-~~
 39 ~~FX[4][RFC2301]~~ except where overridden by TIFF-FX Extensions 20,21,22, and 24.

40 Note that ‘XResolution’ and ‘YResolution’ values refer to the resolutions that the Receiver is capable
 41 of processing, not necessarily the resolutions that the Receiver is physically capable of producing (e.g.,
 42 printer engine delivery).

1 All UIF Receivers MUST support the following Baseline, Extension, and New Fields and
 2 accompanying field values. All UIF Senders MUST be capable of creating a UIF Document that
 3 contains the following Baseline, Extension, and New Fields or MUST be otherwise capable of
 4 verifying that these fields are present before sending a Document. For a complete description of the
 5 Baseline and Extension Fields shown below, see ~~the TIFF-FX specification [4][RFC2301]~~ and ~~TIFF-~~
 6 ~~FX Extension Set 1[24][tiff-fx-ext1]~~.

7 **Table 42. UIF Profile S Baseline Fields**

Baseline Fields	Values
BitsPerSample	1
Compression	3: 1D Modified Huffman coding set T4Options = 0 or 4
FillOrder	2: least significant bit first
ImageWidth	m: width of image in pixels
ImageLength	n: length of image in pixels (total number of scanlines)
NewSubFileType	2: Bit 1 identifies single page of a multi-page Document
PhotometricInterpretation	0: pixel value 1 means black
ResolutionUnit	2: inch (Default = 2)
RowsPerStrip	number of scanlines per strip = ImageLength, with one strip
SamplesPerPixel	1
StripByteCounts	number of bytes in TIFF strip
StripOffsets	offset from beginning of file to single TIFF strip
XResolution	200, 300, 600, other resolutions are OPTIONAL (written in pixels per inch)
YResolution	200, 300, 600, other resolutions are OPTIONAL (written in pixels per inch)

8
9 **Table 23. UIF Profile S Extension Fields**

Extension Fields	Values
PageNumber	n,m: page number n followed by total page count m
T4Options	0: MH coding, EOLs not byte aligned (Default = 0) 4: MH coding, EOLs byte aligned

10
11 **Table 34. UIF Profile S New Fields**

New Fields	Values
GlobalParametersIFD	IFD: global parameters IFD
TIFF-FXExtensions	0x170000-0xB8000 ** (Bits indicating use of TIFF-FX Extensions 20,21,22 and 24)

1 3.3.2 UIF Profile F

2 This section defines UIF Profile F, which uses Modified Read and Modified Modified Read (MMR)
 3 compression (described in ~~ITU-T T.4 [11][T.4]~~ and ~~ITU-T T.6 [12][T.6]~~) in addition to the Modified
 4 Huffman compression used for UIF Profile S. When TIFF-FX Extensions 20, 21, 22, ~~and 24, and 26~~
 5 are applied to Profile F in ~~TIFF-FX [4][RFC2301]~~, the result is UIF Profile F. ~~UIF Profile F is based on~~
 6 ~~TIFF-FX Profile F.~~ Tables 45, 56, and 67 summarize the fields and field values that are REQUIRED /
 7 RECOMMENDED / OPTIONAL for UIF Profile F. Asterisks are used to denote levels of Receiver
 8 conformance, while the rightmost column indicates Sender conformance, i.e., those fields that a Sender
 9 MUST, SHOULD, or MAY include in an image file directory (IFD) of a UIF Document. For a
 10 complete description of the Baseline, Extension, and New Fields shown below, see ~~the TIFF-FX~~
 11 ~~specification [4][RFC2301]~~ and ~~TIFF-FX Extension Set 1 [24][tiff-fx-ext1]~~. A Sender/Receiver
 12 implementing this profile is REQUIRED to also implement UIF Profile S.

13
14 **Table 45. UIF Profile F Baseline Fields**

Baseline Fields	Values	Sender Conformance
BitsPerSample	1**	MUST
Compression	3: 1D Modified Huffman and 2D Modified Read coding 4**: 2D Modified Modified Read coding	MUST
DateTime*	{ASCII}: date/time in 24-hour format "YYYY:MM:DD HH:MM:SS"	SHOULD
FillOrder**	1: most significant bit first 2: least significant bit first (Default = 2)	MUST
ImageDescription*	{ASCII}: A string describing the contents of the image	SHOULD
ImageWidth**	n: width of image in pixels	MUST
ImageLength**	n: length of image in pixels (total number of scanlines)	MUST
NewSubFileType	2**: Bit 1 identifies single page of a multi-page Document	MUST
Orientation	1**-8, (Default = 1)	MUST
PhotometricInterpretation**	0: pixel value 1 means black 1: pixel value 1 means white	MUST
ResolutionUnit**	2: inch (Default = 2) 3: centimeter	MUST
RowsPerStrip**	n: number of scanlines per TIFF strip	MUST
SamplesPerPixel	1**	MUST
Software*	{ASCII}: name & release number of creator software	SHOULD
StripByteCounts**	n: number of bytes in TIFF strip	MUST
StripOffsets**	n: offset from beginning of file to each TIFF strip	MUST
XResolution	200**, 300**, 600**, other resolutions are	MUST

	OPTIONAL (written in pixels per inch)	
YResolution	200**, 300**, 600** in pixels per inch with x-y aspect ratio (XResolution / YResolution) equal to 1; other resolutions and aspect ratios are OPTIONAL (written in pixels per inch)	MUST

- 1 * Receiver SHOULD support this field.
- 2 ** (If double asterisk is in 'Baseline Fields' column) Receiver MUST support the given field and all values shown in
- 3 'Values' column.
- 4 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
- 5 the double asterisk.

6 **Table 56. UIF Profile F Extension Fields**

Extension Fields	Values	Sender Conformance
T4Options	0: REQUIRED if Compression is Modified Huffman (MH), EOLs are not byte aligned (Default = 0) 1: REQUIRED if Compression is 2D Modified Read (MR), EOLs are not byte aligned 4: REQUIRED if Compression is Modified Huffman, EOLs are byte aligned 5: REQUIRED if Compression is 2D Modified Read, EOLs are byte aligned	MUST if Compression=3
T6Options	0**: REQUIRED if Compression is 2D Modified Modified Read (MMR) (Default = 0)	MUST if Compression=4
DocumentName*	{ASCII}: name of UIF Document	SHOULD
PageNumber**	n,m: page number followed by total page count	MUST

- 7 * Receiver SHOULD support this field.
- 8 ** (If double asterisk is in 'Extension Fields' column) Receiver MUST support the given field and all values shown in
- 9 'Values' column.
- 10 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
- 11 the double asterisk.

12 **Table 67. UIF Profile F New Fields**

New Fields	Values	Sender Conformance
GlobalParametersIFD**	IFD: global parameters IFD	MUST
TIFF-FXExtensions	0x17000000x2B80000 ** (Bits indicating use of TIFF-FX Extensions 20,21,22, and 24, and 26)	MUST
FaxProfile*	n: ITU-compatible FAX profile	SHOULD
MultiProfiles*	n: profiles or profile(s) plus extension(s) applied within this file	SHOULD
CodingMethods*	n: compression algorithms used in file	SHOULD

- 14 * Receiver SHOULD support this field.
- 15 ** (If double asterisk is in 'New Fields' column) Receiver MUST support the given field and all values shown in 'Values'
- 16 column.

(If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding the double asterisk.

3.3.3 UIF Profile J

This section defines Profile J for UIF, which uses lossless JBIG compression as it is defined in ~~ITU-T T.82 [16][T.82]~~ subject to the application rules given in ~~ITU-T T.85 [17][T.85]~~. When TIFF-FX Extensions 20, 21, 22, and 24 are applied to Profile J in ~~TIFF-FX[4][RFC2301]~~, the result is UIF Profile J. Tables 78, 89, and 910 summarize fields and field values that are REQUIRED / RECOMMENDED / OPTIONAL. Asterisks are used to denote levels of Receiver conformance, while the rightmost column indicates levels of Sender Conformance, i.e., those fields that a Sender MUST, SHOULD, or MAY include in an IFD of a UIF document. For a complete description of the Baseline, Extension, and New Fields shown below, see the TIFF-FX specification ~~[4][RFC2301]~~ and ~~TIFF-FX Extension Set 1[24][tiff-fx-ext1]~~. A Sender/Receiver implementing this profile is REQUIRED to also implement UIF Profile S.

~~Here are the differences between TIFF-FX Profile J as defined in [4] and UIF Profile J. For UIF Profile J,~~

Table 78. UIF Profile J Baseline Fields

Baseline Fields	Values	Sender Conformance
BitsPerSample	1**	MUST
Compression	9** : JBIG coding	MUST
DateTime*	{ASCII}: date/time in 24-hour format "YYYY:MM:DD HH:MM:SS"	SHOULD
FillOrder**	1: most significant bit first 2: least significant bit first	MUST
ImageDescription*	{ASCII}: A string describing the contents of the image	SHOULD
ImageWidth**	n: width of image in pixels	MUST
ImageLength**	n: length of image in pixels (total number of scanlines)	MUST
NewSubFileType**	2: Bit 1 identifies single page of a multi-page Document	MUST
Orientation	1**-8, (Default = 1)	MUST
PhotometricInterpretation**	0: pixel value 1 means black 1: pixel value 1 means white	MUST
ResolutionUnit**	2: inch (Default = 2) 3: centimeter	MUST
RowsPerStrip**	n: number of scanlines per TIFF strip	MUST
SamplesPerPixel**	1	MUST
Software*	{ASCII}: name & release number of creator software	SHOULD

StripByteCounts**	n: number of bytes in TIFF strip	MUST
StripOffsets**	n: offset from beginning of file to each TIFF strip	MUST
XResolution	200**, 300**, 600**, other resolutions are OPTIONAL (written in pixels per inch)	MUST
YResolution	200**, 300**, 600** in pixels per inch with x-y aspect ratio (XResolution / YResolution) equal to 1; other resolutions and aspect ratios are OPTIONAL	MUST

- 1 * Receiver SHOULD support this field.
- 2 ** (If double asterisk is in 'Baseline Fields' column) Receiver MUST support the given field and all values shown in
- 3 'Values' column.
- 4 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
- 5 the double asterisk.
- 6

7 **Table 89. UIF Profile J Extension Fields**

Extension Fields	Values	Sender Conformance
DocumentName*	{ASCII}: name of UIF Document	SHOULD
PageNumber**	n,m: page number followed by total page count	MUST

- 8 * Receiver SHOULD support this field.
- 9 ** Receiver MUST support the given field and all values shown in 'Values' column.

10
11 **Table 910. UIF Profile J New Fields**

New Fields	Values	Sender Conformance
GlobalParametersIFD**	IFD: global parameters IFD	MUST
TIFF-FXExtensions	0x17000000xB80000 ** (Bits indicating use of TIFF-FX Extensions 20,21,22 and 24)	MUST
FaxProfile*	n: ITU-compatible FAX profile	SHOULD
MultiProfiles*	n: profiles or profile(s) plus extension(s) applied within this file	SHOULD
T82Options**	0: T.85 profile of T.82	MUST
CodingMethods*	n: compression algorithms used in file	SHOULD

- 12 * Receiver SHOULD support this field.
- 13 ** Receiver MUST support the given field and all values shown in 'Values' column.

14
15 **3.3.4 UIF Profile C**

16 This section defines Profile C for UIF, which uses lossy JPEG compression as it is defined in ~~ITU-T~~
 17 ~~T.81~~[15][T.81]. When TIFF-FX Extensions 20, 21, ~~and 22, and 25~~ are applied to Profile C in ~~TIFF-~~
 18 ~~FX~~[4][RFC2301], the result is UIF Profile C. ~~UIF Profile C is based on TIFF-FX Profile C.~~ Tables
 19 ~~4011, 4112, and 4213~~ summarize fields and field values that are REQUIRED / RECOMMENDED /
 20 OPTIONAL. Asterisks are used to denote levels of Receiver conformance, while the rightmost column
 21 indicates levels of Sender Conformance, i.e., those fields that a Sender MUST, SHOULD, or MAY

1 include in an IFD of a UIF document. For a complete description of the Baseline, Extension, and New
 2 Fields shown below, see ~~the TIF-FX specification [4][RFC2301]~~ and ~~TIF-FX Extension Set~~
 3 ~~[24][tiff-fx-ext1]~~. A Sender/Receiver that implements this profile is REQUIRED to also implement
 4 UIF Profile S.
 5
 6
 7

Table 1011. UIF Profile C Baseline Fields

Baseline Fields	Values	Sender Conformance
BitsPerSample	8**: 8 bits per color sample 12: OPTIONAL 12 bits/sample	MUST
Compression**	7: JPEG	MUST
DateTime*	{ASCII}: date/time in 24-hour format "YYYY:MM:DD HH:MM:SS"	SHOULD
FillOrder**	1: most significant bit first 2: least significant bit first	MUST
ImageDescription*	{ASCII}: A string describing the contents of the image	SHOULD
ImageWidth**	n: width of image in pixels	MUST
ImageLength**	n: length of image in pixels (total number of scanlines)	MUST
NewSubFileType**	2: Bit 1 identifies single page of a multi-page Document	MUST
Orientation	1**-8, (Default = 1)	MUST
PhotometricInterpretation	10**: ITULAB	MUST
ResolutionUnit**	2: inch (Default = 2) 3: centimeter	MUST
RowsPerStrip**	n: number of scanlines per TIFF strip	MUST
SamplesPerPixel**	1**: L* (lightness) 3: LAB	MUST
Software*	{ASCII}: name & release number of creator software	SHOULD
StripByteCounts**	n: number of bytes in TIFF strip	MUST
StripOffsets**	n: offset from beginning of file to each TIFF strip	MUST
XResolution	200**, 300** other resolutions are OPTIONAL (written in pixels per inch). XResolution and YResolution fields MUST be equal.	MUST
YResolution	equal to XResolution (pixels MUST be square)	MUST

8 * Receiver SHOULD support this field.

9 ** (If double asterisk is in 'Baseline Fields' column) Receiver MUST support the given field and all values shown in
 10 'Values' column.

11 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
 12 the double asterisk.
 13

Table 1112. UIF Profile C Extension Fields

Extension Fields	Values	Sender Conformance
DocumentName*	{ASCII}: name of UIF Document	SHOULD
PageNumber**	n,m: page number followed by total page count	MUST
ChromaSubSampling	(1,1), (2, 2)** (1, 1): equal numbers of lightness and chroma samples horizontally and vertically (2, 2): twice as many lightness samples as chroma samples horizontally and vertically	MUST
ChromaPositioning	1**: centered	MUST
JPEGTables**	n: file pointer to JPEG quantization and/or Huffman tables (see [TTN2])	MAY

1 * Receiver SHOULD support this field.

2 ** (If double asterisk is in 'Extension Fields' column) Receiver MUST support the given field and all values shown in
3 'Values' column.

4 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
5 the double asterisk.

6

7

Table 1213. UIF Profile C New Fields

New Fields	Values	Sender Conformance
Decode**	minL, maxL, mina, maxa, minb, maxb: minimum and maximum values for L*a*b*	MUST
GlobalParametersIFD**	IFD: global parameters IFD	MUST
TIFF-FXExtensions	0x17000000x1380000 ** (Bits indicating use of TIFF-FX Extensions 20,21,22 and 2425)	MUST
FaxProfile*	n: ITU-compatible FAX profile	SHOULD
MultiProfiles*	n: profiles or profile(s) plus extension(s) applied within this file	SHOULD
CodingMethods*	n: compression algorithms used in file	SHOULD
VersionYear*	byte sequence: year of ITU std	SHOULD

8 * Receiver SHOULD support this field.

9 ** (If double asterisk is in 'New Fields' column) Receiver MUST support the given field and all values shown in 'Values'
10 column.

11 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
12 the double asterisk.

13

14 3.3.5 UIF Profile L

15 When TIFF-FX Extensions 20, 21, and 22 are applied to Profile L in ~~TIFF-FX[4][RFC2301]~~, the result
16 is UIF Profile L. This profile uses JBIG compression (see ~~[4][T.82]~~), subject to the application rules
17 specified in ~~ITU-T Recommendation T.43-[13][T.43]~~ to losslessly code three types of color and
18 grayscale images: one bit per color CMY, CMYK and RGB images; a palletized (i.e. mapped) color
19 image; and continuous tone color and grayscale images.

1 Here are the differences between TIFF-FX Profile L as defined in [4] and UIF Profile L. For UIF
2 Profile L,

- 3 1)ImageWidth is not constrained.
- 4 2)XResolution is not constrained, but a Receiver MUST support 200 and 300dpi.
- 5 3)YResolution MUST match XResolution, but it is not otherwise constrained; a Receiver MUST
6 support 200 and 300dpi.
- 7 4)The following TIFF-FX RECOMMENDED field has been omitted: 'ProfileType'.
- 8 5)UIF Implementations MUST support the GlobalParametersIFD field.
- 9 6)The 'FaxProfile' TIFF tag introduced in [4] is re-interpreted as the 'UIFProfile' TIFF tag for UIF
10 Documents. The TIFF tag 'UIFProfile' uses the same TIFF field identifier (402) and the same
11 data type (Byte) as the TIFF tag 'FaxProfile'. The values for this field are redefined as follows:
12 —0: does not conform to a profile defined for UIF
13 —1: minimal black & white lossless, UIF Profile S
14 —2: extended black & white lossless, UIF Profile F
15 —3: lossless JBIG black & white, UIF Profile J
16 —4: lossy color and grayscale, UIF Profile C
17 —5: lossless color and grayscale, UIF Profile L
18 —6: Mixed Raster Content, UIF Profile M

19
20 Tables [4214](#), [4315](#), and [4416](#) summarize fields and field values that are REQUIRED /
21 RECOMMENDED / OPTIONAL for Implementations of UIF Profile L. Asterisks are used to denote
22 levels of Receiver conformance, while the rightmost column indicates levels of Sender Conformance,
23 i.e., those fields that a Sender MUST, SHOULD, or MAY include in an IFD of a UIF document. For a
24 complete description of the Baseline, Extension, and New Fields shown below, see [the TIFF-FX
25 specification \[4\]\[RFC2301\]](#) and [TIFF-FX Extension Set 1\[24\]\[tiff-fx-ext1\]](#). A Sender / Receiver that
26 chooses to implement this profile is REQUIRED to also implement UIF Profile S, and UIF Profile C.

27 Optional fields have no asterisks in either the field name or the Values column, however, the Values
28 field may contain a condition which REQUIRES the field.

29
30 **Table 4314. UIF Profile L Baseline Fields**

Baseline Fields	Values	Sender Conformance
BitsPerSample	1: Binary RGB, CMY(K) 8**: 8 bits per color sample 9-16: OPTIONAL	MUST
Compression	10***: JBIG, per T.43	MUST
DateTime*	{ASCII}: date/time in 24-hour format "YYYY:MM:DD HH:MM:SS"	SHOULD
FillOrder**	1: most significant bit first 2: least significant bit first	MUST
ImageDescription*	{ASCII}: A string describing the contents of the	SHOULD

	image	
ImageWidth**	n: width of image in pixels	MUST
ImageLength**	n: length of image in pixels (total number of scanlines)	MUST
NewSubFileType	2**: Bit 1 identifies single page of a multi-page Document	MUST
Orientation	1**-8, (Default = 1)	MUST
PhotometricInterpretation	2: RGB 5: CMYK 10**: ITULAB	MUST
ResolutionUnit**	2: inch (Default = 2)	MUST
RowsPerStrip**	n: number of scanlines per TIFF strip	MUST
SamplesPerPixel	1**: L* (lightness) 3: LAB, RGB, CMY 4: CMYK	MUST
Software*	{ASCII}: name & release number of creator software	SHOULD
StripByteCounts**	n: number of bytes in TIFF strip	MUST
StripOffsets**	n: offset from beginning of file to each TIFF strip	MUST
XResolution	200**, 300** other resolutions are OPTIONAL (written in pixels per inch)	MUST
YResolution	equal to XResolution (pixels MUST be square)	MUST

- 1 * Receiver SHOULD support this field.
- 2 ** (If double asterisk is in 'Baseline Fields' column) Receiver MUST support the given field and all values shown in
- 3 'Values' column.
- 4 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
- 5 the double asterisk.
- 6
- 7

Table 1415. UIF Profile L Extension Fields

Extension Fields	Values	Sender Conformance
DocumentName*	{ASCII}: name of UIF Document	SHOULD
PageNumber**	n,m: page number followed by total page count	MUST
Indexed	0: not a palette-color image (Default = 0) 1: palette-color image	MUST if image uses palette color; otherwise, MAY

- 8 * Receiver SHOULD support this field.
- 9 ** Receiver MUST support the given field and all values shown in 'Values' column.
- 10 Note: Fields that the Receiver MAY support have no asterisks in either the field name or the values column
- 11
- 12
- 13

Table 1516. UIF Profile L New Fields

New Fields	Values	Sender
------------	--------	--------

		Conformance
Decode**	minL, maxL, mina, maxa, minb, maxb: minimum and maximum values for L*a*b*	MUST if PhotoMetric-Interpretation is set to ITULAB
GlobalParametersIFD**	IFD: global parameters IFD	MUST
TIFF-FXExtensions	0x17000000 0x380000** (Bits indicating use of TIFF-FX Extensions 20, 21, and 22 <u>and 24</u>)	MUST
FaxProfile*	n: ITU-compatible FAX profile	SHOULD
MultiProfiles*	n: profiles or profile(s) plus extension(s) applied within this file	SHOULD
CodingMethods*	n: compression algorithms used in file	SHOULD
VersionYear*	byte sequence: year of ITU std	SHOULD

- 1 * Receiver SHOULD support this field.
- 2 ** Receiver MUST support the given field and all values shown in 'Values' column.

3

4 3.3.6 UIF Profile M

5 When TIFF-FX Extensions 20, 21, 22, ~~and 23, 25, and 26~~ are applied to Profile M in ~~TIFF-~~
 6 ~~FX~~[\[4\]\[RFC2301\]](#), the result is UIF Profile M. This profile is modeled after TIFF-FX Profile M, which
 7 uses Mixed Raster Content (MRC), defined in ~~ITU-T Recommendation T.44~~ [\[14\]\[T.44\]](#). MRC enables
 8 different coding methods and resolutions within a single page. For a more detailed description of MRC
 9 and the Baseline, Extension, and New Fields shown below, see ~~the TIFF-FX specification~~
 10 [\[4\]\[RFC2301\]](#), ~~ITU-T T.44 Mixed Raster Content~~ [\[14\]\[T.44\]](#), and ~~TIFF-FX Extension Set 1~~ [\[24\]\[tiff-](#)
 11 [fx-ext1\]](#).

12 Tables ~~4617, 4718,~~ and ~~4819~~ summarize fields and field values that are REQUIRED /
 13 RECOMMENDED / OPTIONAL for Implementations of UIF Profile M.. Asterisks are used to denote
 14 levels of Receiver conformance, while the rightmost column indicates levels of Sender Conformance,
 15 i.e., those fields that a Sender MUST, SHOULD, or MAY include in an IFD of a UIF document. A
 16 Sender/Receiver that chooses to implement this profile is REQUIRED to also implement UIF Profile
 17 S, and UIF Profile C.

18 Optional fields have no asterisks in either the field name or the Values column, however, the Values
 19 field may contain a condition which REQUIRES the field.

20

21

Table ~~4617~~. UIF Profile M Baseline Fields

Baseline Fields	Values	Sender Conformance
BitsPerSample	1**: binary mask, RGB, CMY(K) 2-8**: bits per color sample 9-16: OPTIONAL 12 bits/sample	MUST
Compression	1: None (ImageBaseColor IFD only) 3: Modified Huffman and Modified Read	MUST

	4**: Modified Modified Read 7**: JPEG 9: JBIG, per [16][T.82] 10: JBIG, per [13][T.43]	
DateTime*	{ASCII}: date/time in 24-hour format "YYYY:MM:DD HH:MM:SS"	SHOULD
FillOrder**	1: most significant bit first 2: least significant bit first	MUST
ImageDescription*	{ASCII}: A string describing the contents of the image	SHOULD
ImageWidth**	n: width of image in pixels	MUST
ImageLength**	n: length of image in pixels (total number of scanlines)	MUST
NewSubFileType**	16, 18: Bit 1 indicates single page of a multi-page Document on Primary IFD Bit 4 indicates MRC model	MUST
Orientation	1**-8, (Default = 1)	MUST
PhotometricInterpretation	0**: WhiteIsZero (Mask Layer) 2: RGB 5: CMYK 10**: ITULAB	MUST
ResolutionUnit**	2: inch (Default = 2)	MUST
RowsPerStrip**	n: number of scanlines per TIFF strip	MUST
SamplesPerPixel	1**: L* (lightness) 3: LAB, RGB, CMY 4: CMYK	MUST
Software*	{ASCII}: name & release number of creator software	SHOULD
StripByteCounts**	n: number of bytes in TIFF strip	MUST
StripOffsets**	n: offset from beginning of file to each TIFF strip	MUST
XResolution	200**, 300**, 400**: binary mask, background & foreground layers; other resolutions are OPTIONAL	MUST
YResolution	200**, 300**, 400**: binary mask, background & foreground layers; other resolutions are OPTIONAL; MUST be equal to XResolution (pixels MUST be square)	MUST

1 * Receiver SHOULD support this field.

2 ** (If double asterisk is in 'Baseline Fields' column) Receiver MUST support the given field and all values shown in
3 'Values' column.

4 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
5 the double asterisk.

6

1

Table 1718. UIF Profile M Extension Fields

Extension Fields	Values	Sender Conformance
T4Options	0: REQUIRED if Compression is Modified Huffman, EOLs not byte aligned (Default = 0) 1: REQUIRED if Compression 2D Modified Read, EOLs are not byte aligned 4: REQUIRED if Compression Modified Huffman, EOLs byte aligned 5: REQUIRED if Compression 2D Modified Read, EOLs are byte aligned	MUST if Compression=3
T6Options	0**: REQUIRED if Compression is 2D Modified Modified Read (Default = 0)	MUST if Compression=4
DocumentName*	{ASCII}: name of scanned Document	SHOULD
PageNumber**	n,m: page number followed by total page count	MUST
ChromaSubSampling	(1,1), (2, 2)** (1, 1): equal numbers of lightness and chroma samples horizontally & vertically (2, 2): twice as many lightness samples as chroma horizontally and vertically	MUST if Compression=7 and Photometric-Interpretation=10
ChromaPositioning**	1: centered (default = 1)	MAY if Compression=7 and Photometric-Interpretation=10
Indexed	0: not a palette-color image (Default = 0) 1: palette-color image	MUST if image uses palette color; otherwise, MAY
SubIFDs**	<IFD>: byte offset to FG/BG IFDs	MAY
XPosition**	horizontal offset in primary IFD resolution units	MAY
YPosition**	vertical offset in primary IFD resolution units	MAY
JPEGTables**	n: file pointer to JPEG quantization and/or Huffman tables	MAY

2 * Receiver SHOULD support this field.

3 ** (If double asterisk is in 'Extension Fields' column) Receiver MUST support the given field and all values shown in
4 'Values' column.

5 (If double asterisk is in 'Values' column) Receiver MUST support the given field and the value immediately preceding
6 the double asterisk.

7 Note: Fields that the Receiver MAY support have no asterisks in either the field name or the values column

8

9

Table 1819. UIF Profile M New Fields

New Fields	Values	Sender Conformance
Decode**	minL, maxL, mina, maxa, minb, maxb: minimum and maximum values for L*a*b*	MUST if Photometric-

		Interpretation=10
ImageBaseColor**	a,b,c: background color in ITULAB	MAY
StripRowCounts**	n: number of scanlines in each strip	MAY
ImageLayer**	n, m: layer number, imaging sequence (e.g., strip number)	MAY
T82Options	0: T.85 profile of T.82 coding	MUST if Compression=9
GlobalParametersIFD**	IFD: global parameters IFD	MUST
TIFF-FXExtensions	0x17000000x3780000 ** (Bits indicating use of TIFF-FX Extensions 20, 21, 22, 23, 25, and 26)	MUST
FaxProfile*	n: ITU-compatible FAX profile	SHOULD
MultiProfiles*	n: profiles or profile(s) plus extension(s) applied within this file	SHOULD
CodingMethods*	n: compression algorithms used in file	SHOULD
ModeNumber*	n: version of T.44 standard	SHOULD
VersionYear*	byte sequence: year of ITU std	SHOULD

1 * Receiver SHOULD support this field.

2 ** Receiver MUST support the given field and all values shown in 'Values' column.

3 **3.4 Potential UIF Profiles**

4 While this specification was being written, a new profile, designated 'T', was being introduced as an
 5 extension to TIFF-FX. This new TIFF-FX profile would allow JBIG2 to be used for the lossless and
 6 lossy coding of black-and-white image data. JBIG2 coding can be used for UIF Documents as soon as
 7 the RFC for TIFF-FX Profile T is published, and the IPPFAX Working Group publishes the additional
 8 requirements that are needed for UIF Profile T.

9

10 **4 Sender requirements**

11 **4.1 Indicating Document format using MIME**

12 If the underlying transport protocol uses MIME as defined by ~~RFC2046~~ [23][RFC2046], then a Sender
 13 MUST describe the TIFF-FX data using one of two possible MIME content types, depending on which
 14 UIF Profiles are included in the Document. If the Document contains only UIF Profile S and/or UIF
 15 Profile F, then the UIF data content MUST be described by the 'image/tiff' content type/subtype.
 16 Registration of the MIME type/sub-type 'image/tiff' is described in the TIFF MIME Sub-type
 17 Registration document [25TIFF-REG]*. If the Document contains any UIF Profiles besides UIF
 18 Profile S and/or UIF Profile F, then the Sender MUST describe the UIF data using the 'image/tiffx'
 19 content type/subtype*. Registration of the 'image/tiffx' content type is described

20 * Note: ~~The IETF TIFF-FX [4][RFC2301]~~ will be registering a new MIME media type to
 21 accommodate profiles/codings that are not compatible with TIFF 6. TIFF-FX profiles that are not
 22 compatible with TIFF 6, namely profiles J, C, L, and M, will use the new MIME type. For the
 23 purposes of this draft, the 'image/tiffx' MIME type is shown as a working name, since it has been

1 suggested through email by the Internet FAX Working Group. When the proper MIME type is agreed
2 by the Internet FAX WG, this document will be updated.

3

4 **4.2 Image-Reduction**

5 It is possible that a Sender might send an image that does not match the announced drawing surface of
6 the Receiver (for example a Sender may have an image that it cannot change). In this case the Sender
7 MAY indicate to the Receiver in a protocol-specific manner whether or not the Receiver is to reduce
8 the image.

9 If the Receiver does not support image reduction and the received image dimensions are larger than
10 what is allowed by the supported media, then the Receiver MUST flow extra data to the next page. If
11 the Receiver does support image reduction, then the Sender MAY request in a protocol-specific
12 manner that the Receiver use image-reduction if necessary. If the Receiver receives such a request, and
13 the received image dimensions are larger than what is allowed by the supported media, then the
14 Receiver MUST reduce the image so as to fit it to the page while maintaining the aspect ratio. If the
15 Receiver uses image reduction, the Receiver MUST determine if reduction is necessary for each page
16 and if so, apply reduction. The scaling is calculated separately for each page. The scaling applies to all
17 pages of the Document unless the protocol used by the Sender and Receiver supports a means of
18 specifying image reduction on a page-by-page basis (e.g., IPPFAX's potential use of page level
19 overrides[[ipp-override](#)]).

20 **4.3 Intra-Document media selection**

21 When the image dimensions are different on a page-by-page basis such that use of a single type of
22 media is not possible without scaling, the Sender / Receiver protocol MUST arbitrate media selection.
23 The ImageWidth and ImageLength TIFF tags MUST NOT select the media.

24

25

26 **5 References**

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- 13 [12T.6] ITU-T Recommendation T.6, Facsimile coding schemes and coding control functions
14 for group 4 facsimile apparatus, November 1988
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- 17 [14T.44] ITU-T Recommendation T.44, Mixed Raster Content (MRC), April 1999.
- 18 [15T.81] ITU-T Recommendation T.81, Information technology - Digital compression and
19 coding of continuous-tone still images - Requirements and guidelines, September 1992
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36 image/tiff MIME Sub-type Registration", work in progress, draft-ietf-fax-tiff-regbis-??
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38 FX as a Draft Standard.

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2 Two: Media Types", RFC 2046, November 1996.
- 3 [\[24tiff-fx-ext1\]](#) McIntyre, Abercrobie, Rucklidge, Buckley, "TIFF-FX Extension Set 1", July
4 20, 2001.
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6

7 **6 Outstanding Issues**

8

- 9 1. Is it still OK for a Sender to describe UIF Profile S or F TIFF data using the "image/tiff" MIME
10 subtype since UIF Profile S relies on several TIFF-FX extensions which require the use of two
11 TIFF fields not recognized by TIFF 6 (namely, the GlobalParametersIFD and TIFF-FXExtensions
12 fields)

13

14

15

16 **7 Revision History (to be removed when standard is approved)**

17

Revision	Date	Author	Notes
1	1/16/01	Paul Moore, Neteon	Initial version
2	1/28/01	Gail Songer, Neteon	Added formal definition of new attributes
3	4/11/01	John Pulera, Minolta	Added UIF-specific Profile U and described UIF support for other TIFF-FX profiles
4	5/07/01	John Pulera, Minolta	Modifications made at Portland meeting.
5	6/14/01	John Pulera, Minolta	Added description of UIF profiles and minimal capabilities strings; generalized document so there is no dependence on IPP.
D0.6	7/25/01	John Pulera, Minolta	Expanded Sender conformance requirements for UIF profiles and MIME; other modifications per June teleconference.
D0.7	10/16/01	John Pulera, Minolta	Redefined UIF Profiles to be TIFF-FX profiles using TIFF-FX extensions; moved capabilities communication to an informative appendix.
D0.8	10/30/01	John Pulera, Minolta	Clarified terminology to make

		<u>Tom Hastings, Xerox</u>	<u>clear that UIF is TIFF-FX plus specific TIFF-FX extensions; other editorial changes.</u>
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1
2

1

2 **Appendix A. Capabilities communication (Informative)**

3 This informative appendix is intended to suggest a means of capabilities communication that would
4 allow a protocol using the UIF data format to discover what a potential UIF-compatible Receiver
5 supports in terms of resolution, encoding, drawing surface, etc. As such, the conformance terminology
6 used in this Appendix applies only to protocols that choose to implement capabilities communication
7 as it is described in this Appendix. Section A.6 lists the Conformance requirements for protocols that
8 implement capabilities communication as it is described in this appendix.

9 To discover a potential Receiver's capabilities, a UIF Sender **MUST** query in a protocol-specific
10 manner either the UIF Profiles supported (see section A.2) or the Receiver capabilities string (see
11 section A.1). If the Sender wants to send a UIF file using any **OPTIONAL** features outside the profile-
12 specific baseline level (see baseline levels shown in section A.1.1), then the Sender **MUST** query the
13 Receiver for the capabilities string. The Sender **MUST** also query the Receiver to determine the media
14 that is supported, and the media that is not only supported but ready. The UIF Profiles supported,
15 media supported, and media ready are excluded from the Receiver capabilities string so that a full
16 Sender-side implementation of CONNEG is unnecessary if a UIF Sender decides to support only the
17 minimum capabilities for a given profile (see Section 4.1.2).

18 **A.1 Receiver capabilities string**

19 A valid Receiver capabilities string **MUST** be any well-formed CONNEG string obeying the syntax
20 specified in [RFC2533](#) [~~25~~[RFC2533](#)] and using the feature tag and tag values described in [RFC2879](#)
21 [\[5\]](#) [[RFC2879](#)]. A UIF Sender **MAY** request the Receiver capabilities string. A UIF Receiver **MUST**
22 return a Receiver capabilities string if a Sender requests it. The Receiver capabilities string is not
23 expected to be more than 32Kb in length. The capabilities announced by the Receiver **SHOULD**
24 indicate those things that it can do without operator intervention. For example if the Receiver has a
25 manually interchangeable print cartridge with only the black cartridge loaded, it **SHOULD** only
26 indicate support for "color=binary". The method of transport is protocol-dependent and beyond the
27 scope of this document.

28

29 **A.1.1 Minimum Receiver capabilities**

30 Requiring a minimum set of Receiver capabilities on a profile-specific basis is useful because it
31 guarantees a baseline level of compatibility between a Sender and a Receiver.

32 The CONNEG expressions listed in the following subsections summarize the minimum set of
33 capabilities that a Receiver **MUST** support before advertising support for a given profile. See
34 [RFC2879](#) [~~5~~][\[RFC2879\]](#) for a complete description of the feature tags tokens. The color profiles (UIF
35 Profiles C and L) have been broken down further into minimum capabilities specification for both
36 grayscale-only and full-color implementations.

37 **A.1.1.1 Minimum capabilities for UIF Profile S**

38 (& (image-file-structure=TIFF-minimal)
39 (MRC-mode=0)

```

1      (image-coding=MH)
2      (color=Binary)
3      (dpi=[200,300,600])
4      (dpi-xyratio=1) )

```

5 **A.1.1.2 Minimum capabilities for UIF Profile F**

```

6  ( | (& (image-file-structure=TIFF-minimal)
7      (MRC-mode=0)
8      (image-coding=MH)
9      (color=Binary)
10     (dpi=[200,300,600])
11     (dpi-xyratio=1) )
12  (& (image-file-structure=TIFF-limited)
13     (MRC-mode=0)
14     (image-coding=MMR)
15     (color=Binary)
16     (dpi=[200,300,600])
17     (dpi-xyratio=1) ) )
18

```

19 **A.1.1.3 Minimum capabilities for UIF Profile J**

```

20  ( | (& (image-file-structure=TIFF-minimal)
21      (MRC-mode=0)
22      (image-coding=MH)
23      (color=Binary)
24      (dpi=[200,300,600])
25      (dpi-xyratio=1) )
26  (& (image-file-structure=TIFF-limited)
27     (MRC-mode=0)
28     (image-coding=JBIG)
29     (image-coding-constraint=JBIG-T85)
30     (color=Binary)
31     (JBIG-stripe-size=128)
32     (dpi=[200,300,600])
33     (dpi-xyratio=1) ) )

```

34 **A.1.1.4 Minimum capabilities for UIF Profile C**

35 Minimum capabilities for UIF Profile C can be subdivided into a listing of minimum capabilities for a
36 baseline grayscale implementation and a listing of minimum capabilities for a full color
37 implementation. Subdividing the minimum capabilities in such a way gives the Sender the flexibility to
38 encode grayscale and/or full color data without the need for a full CONNEG implementation.

39 **A.1.1.4.1 Minimum grayscale capabilities for UIF Profile C**

```

40  ( | (& (image-file-structure=TIFF-minimal)
41      (MRC-mode=0)
42      (image-coding=MH)
43      (color=Binary)
44      (dpi=[200,300,600])
45      (dpi-xyratio=1) )
46  (& (image-file-structure=TIFF-limited)
47     (MRC-mode=0)
48     (color=grey)

```

```

1      (image-coding=JPEG)
2      (image-coding-constraint=JPEG-T4E)
3      (color-levels<=256)
4      (color-space=CIELAB)
5      (color-illuminant=D50)
6      (CIELAB-L-min>=0)
7      (CIELAB-L-max<=100)
8      (dpi=[200,300])
9      (dpi-xyratio=1) ) )
10

```

11 ***A1.1.4.2 Minimum full color capabilities for UIF Profile C***

```

12  ( | (& (image-file-structure=TIFF-minimal)
13        (MRC-mode=0)
14        (image-coding=MH)
15        (color=Binary)
16        (dpi=[200,300,600])
17        (dpi-xyratio=1) )
18    (& (image-file-structure=TIFF-limited)
19        (MRC-mode=0)
20        (color=grey)
21        (image-coding=JPEG)
22        (image-coding-constraint=JPEG-T4E)
23        (color-levels<=256)
24        (color-space=CIELAB)
25        (color-illuminant=D50)
26        (CIELAB-L-min>=0)
27        (CIELAB-L-max<=100)
28        (dpi=[200,300])
29        (dpi-xyratio=1) )
30    (& (image-file-structure=TIFF-limited)
31        (MRC-mode=0)
32        (color=full)
33        (image-coding=JPEG)
34        (image-coding-constraint=JPEG-T4E)
35        (color-subsampling="4:1:1")
36        (color-levels<=16777216)
37        (color-space=CIELAB)
38        (color-illuminant=D50)
39        (CIELAB-L-min>=0)
40        (CIELAB-L-max<=100)
41        (CIELAB-a-min>=-85)
42        (CIELAB-a-max<=85)
43        (CIELAB-b-min>=-75)
44        (CIELAB-b-max<=125)
45        (dpi=[200,300])
46        (dpi-xyratio=1) ) )
47

```

48 ***A.1.1.5 Minimum capabilities for UIF Profile L***

49 As with UIF Profile C, minimum capabilities for UIF Profile L can be subdivided into a listing of
50 minimum capabilities for a baseline grayscale implementation and a listing of minimum capabilities
51 for a full color implementation. Subdividing the minimum capabilities in such a way gives the Sender

1 the flexibility to encode grayscale and/or full color data without the need for a full CONNEG
2 implementation.

3 ***A.1.1.5.1 Minimum grayscale capabilities for UIF Profile L***

```
4
5 ( | (& (image-file-structure=TIFF-minimal)
6     (MRC-mode=0)
7     (color=Binary)
8     (image-coding=MH)
9     (dpi=[200,300,600])
10    (dpi-xyratio=1) )
11  (& (image-file-structure=TIFF-limited)
12    (MRC-mode=0)
13    (color=grey)
14    ( | (& (image-coding=JPEG)
15        (image-coding-constraint=JPEG-T4E) )
16      (& (image-coding=JBIG)
17        (image-coding-constraint=JBIG-T43)
18        (JBIG-stripe-size=128)
19        (image-interleave=stripe) ) )
20    (color-space=CIELAB)
21    (color-levels<=256)
22    (color-illuminant=D50)
23    (CIELAB-L-min>=0)
24    (CIELAB-L-max<=100)
25    (dpi=[200,300])
26    (dpi-xyratio=1) ) )
```

27 ***A.1.1.5.2 Minimum full color capabilities for UIF Profile L***

```
28
29 ( | (& (image-file-structure=TIFF-minimal)
30     (MRC-mode=0)
31     (color=Binary)
32     (image-coding=MH)
33     (dpi=[200,300,600])
34     (dpi-xyratio=1) )
35  (& (image-file-structure=TIFF-limited)
36    (MRC-mode=0)
37    (color=grey)
38    ( | (& (image-coding=JPEG)
39        (image-coding-constraint=JPEG-T4E) )
40      (& (image-coding=JBIG)
41        (image-coding-constraint=JBIG-T43)
42        (JBIG-stripe-size=128)
43        (image-interleave=stripe) ) )
44    (color-space=CIELAB)
45    (color-levels<=256)
46    (color-illuminant=D50)
47    (CIELAB-L-min>=0)
48    (CIELAB-L-max<=100)
49    (dpi=[200,300])
50    (dpi-xyratio=1) )
51  (& (image-file-structure=TIFF-limited)
52    (MRC-mode=0)
```

```

1      (color=full)
2      (| (& (image-coding=JPEG)
3          (image-coding-constraint=JPEG-T4E)
4          (color-subsampling=[ "1:1:1" , "4:1:1" ] ) )
5          (& (image-coding=JBIG)
6            (image-coding-constraint=JBIG-T43)
7            (JBIG-stripe-size=128)
8            (image-interleave=stripe) ) )
9      (color-levels<=16777216)
10     (color-space=CIELAB)
11     (color-illuminant=D50)
12     (CIELAB-L-min>=0)
13     (CIELAB-L-max<=100)
14     (CIELAB-a-min>=-85)
15     (CIELAB-a-max<=85)
16     (CIELAB-b-min>=-75)
17     (CIELAB-b-max<=125)
18     (dpi=[100,200,300])
19     (dpi-xyratio=1) ) )
20

```

21 **A.1.1.6 Minimum capabilities for UIF Profile M**

```

22     (| (& (image-file-structure=TIFF-minimal)
23         (MRC-mode=0)
24         (color=Binary)
25         (image-coding=MH)
26         (dpi=[200,300,600])
27         (dpi-xyratio=1) )
28         (& (image-file-structure=TIFF-limited)
29           (MRC-mode=0)
30           (color=full)
31           (image-coding=JPEG)
32           (image-coding-constraint=JPEG-T4E)
33           (color-subsampling="4:1:1")
34           (color-levels<=16777216)
35           (color-space=CIELAB)
36           (color-illuminant=D50)
37           (CIELAB-L-min>=0)
38           (CIELAB-L-max<=100)
39           (CIELAB-a-min>=-85)
40           (CIELAB-a-max<=85)
41           (CIELAB-b-min>=-75)
42           (CIELAB-b-max<=125)
43           (dpi=[200,300]) (dpi-xyratio=1)
44         (& (image-file-structure=TIFF-MRC-limited)
45           (MRC-mode=1)
46           (MRC-max-stripe-size<=256)
47         (| (& (image-file-structure=TIFF-minimal)
48             (color=Binary)
49             (image-coding=MH)
50             (dpi=[200,300,400])
51             (dpi-xyratio=1) )
52             (& (image-file-structure=TIFF-limited)
53               (color=full)
54               (image-coding=JPEG)
55               (image-coding-constraint=JPEG-T4E)

```



```

1      (color-subsampling="4:1:1")
2      (color-levels<=16777216)
3      (color-space=CIELAB)
4      (color-illuminant=D50)
5      (CIELAB-L-min>=0)
6      (CIELAB-L-max<=100)
7      (CIELAB-a-min>=-85)
8      (CIELAB-a-max<=85)
9      (CIELAB-b-min>=-75)
10     (CIELAB-b-max<=125)
11     (dpi=[200,300,400])
12     (dpi-xyratio=1) ) ) ) )

```

13 **A.1.2 New CONNEG tags and values**

14

15 In addition to the CONNEG tags and tag values defined in [RFC2879\[5\]\[RFC2879\]](#), the capabilities
 16 string MAY include tag and tag values defined in the following subsections.

17 **A.1.2.1 Definition of ‘profile’ tag and tag values**

18 The new CONNEG tag ‘profile’ and accompanying tag values ‘uif-s’, ‘uif-f’, ‘uif-j’, ‘uif-cg’, ‘uif-c’,
 19 ‘uif-lg’, ‘uif-l’, and ‘uif-m’ shall be registered with the relevant authoritative body. This new tag and
 20 its tag values have been introduced to represent the *incremental* differences between minimum
 21 capabilities strings listed in sections A.1.1.1 through A1.1.5. This cuts down on the length of the
 22 CONNEG strings and makes it immediately apparent from a human’s perspective any OPTIONAL
 23 features that are advertised.

24

25 The CONNEG string “profile=uif-s” is defined to expand as

```

26     (& (image-file-structure=TIFF-minimal)
27        (MRC-mode=0)
28        (image-coding=MH)
29        (color=Binary)
30        (dpi=[200,300,600])
31        (dpi-xyratio=1) )

```

32

33 The CONNEG string “profile=uif-f” is defined to expand as

```

34     (& (image-file-structure=TIFF-limited)
35        (MRC-mode=0)
36        (image-coding=MMR)
37        (color=Binary)
38        (dpi=[200,300,600])
39        (dpi-xyratio=1) )

```

40

41 The CONNEG string “profile=uif-j” is defined to expand as

```

42     (& (image-file-structure=TIFF-limited)
43        (MRC-mode=0)
44        (image-coding=JBIG)

```

1 (image-coding-constraint=JBIG-T85)
 2 (color=Binary)
 3 (JBIG-stripe-size=128)
 4 (dpi=[200,300,600])
 5 (dpi-xyratio=1))

6

7 The CONNEG string “profile=uif-cg” is defined to expand as

8 (& (image-file-structure=TIFF-limited)
 9 (MRC-mode=0)
 10 (color=grey)
 11 (image-coding=JPEG)
 12 (image-coding-constraint=JPEG-T4E)
 13 (color-levels<=256)
 14 (color-space=CIELAB)
 15 (color-illuminant=D50)
 16 (CIELAB-L-min>=0)
 17 (CIELAB-L-max<=100)
 18 (dpi=[200,300])
 19 (dpi-xyratio=1))

20

21 The CONNEG string “profile=uif-c” is defined to expand as

22 (& (image-file-structure=TIFF-limited)
 23 (MRC-mode=0)
 24 (color=full)
 25 (image-coding=JPEG)
 26 (image-coding-constraint=JPEG-T4E)
 27 (color-subsampling="4:1:1")
 28 (color-levels<=16777216)
 29 (color-space=CIELAB)
 30 (color-illuminant=D50)
 31 (CIELAB-L-min>=0)
 32 (CIELAB-L-max<=100)
 33 (CIELAB-a-min>=-85)
 34 (CIELAB-a-max<=85)
 35 (CIELAB-b-min>=-75)
 36 (CIELAB-b-max<=125)
 37 (dpi=[200,300])
 38 (dpi-xyratio=1))

39

40 The CONNEG string “profile=uif-lg” is defined to expand as

41 (& (image-file-structure=TIFF-limited)
 42 (MRC-mode=0)
 43 (color=grey)
 44 (image-coding=JBIG)
 45 (image-coding-constraint=JBIG-T43)
 46 (JBIG-stripe-size=128)
 47 (image-interleave=stripe)
 48 (color-space=CIELAB)
 49 (color-levels<=256)
 50 (color-illuminant=D50)

```

1      (CIELAB-L-min>=0)
2      (CIELAB-L-max<=100)
3      (dpi=[200,300])
4      (dpi-xyratio=1) )

```

5

6 The CONNEG string “profile=uif-l” is defined to expand as

```

7      (& (image-file-structure=TIFF-limited)
8      (MRC-mode=0)
9      (color=full)
10     (image-coding=JBIG)
11     (image-coding-constraint=JBIG-T43)
12     (JBIG-stripe-size=128)
13     (image-interleave=stripe)
14     (color-levels<=16777216)
15     (color-space=CIELAB)
16     (color-illuminant=D50)
17     (CIELAB-L-min>=0)
18     (CIELAB-L-max<=100)
19     (CIELAB-a-min>=-85)
20     (CIELAB-a-max<=85)
21     (CIELAB-b-min>=-75)
22     (CIELAB-b-max<=125)
23     (dpi=[100,200,300])
24     (dpi-xyratio=1) )

```

25

26 **A.1.2.2 Application of ‘profile’ tag and tag values**

27 The ‘profile’ tag definition and its associated tag values allow the composite UIF Profile M to take the
28 form shown below

29

```

30 (| (profile=[uif-s,uif-c])
31   (& (image-file-structure=TIFF-MRC-limited)
32   (MRC-mode=1)
33   (MRC-max-stripe-size<=256)
34   (profile=[uif-s,uif-c])
35   (dpi=[200,300,400]) ) )

```

36

37 As another example, if a Receiver would like to advertise that it can support UIF Profiles S and F with
38 the optional resolution of 1200 dpi and can support UIF Profile C with the optional resolution of
39 600dpi, then the Receiver can return the following if a Sender queries its capabilities string:

```

40 (| (& (profile=[uif-s,uif-f])
41   (dpi=[200,300,600,1200]) )
42   (& (profile=uif-c)
43   (dpi=[200,300,600]) ) )

```

44

1 **A.2 UIF Profiles supported**

2 A UIF Sender MUST query the potential UIF Receiver for the UIF Profiles supported by the Receiver.
 3 A UIF Receiver MUST respond with the UIF Profiles that it supports. When a Receiver indicates the
 4 document formats / profiles that are supported, the list MUST include all the UIF Profiles described in
 5 this document that are supported and, if UIF Profile M is supported, all of the combinations with UIF-
 6 Profile M that are supported. The Sender MUST interpret a missing or otherwise invalid response as an
 7 indication that the Receiver does not support UIF. The method of transport and the actual data values
 8 used to indicate supported UIF Profiles are protocol-specific and beyond the scope of this document.

9 **A.3 Media supported**

10 A UIF Sender MUST query the potential UIF Receiver for media supported. A UIF Receiver MUST
 11 respond with the media supported by the Receiver (e.g., letter, legal, A4, etc.). The method of
 12 transport, the valid range of media, and the actual data values used to indicate supported media are
 13 protocol-specific and beyond the scope of this document; however, the Sender MUST be able to infer
 14 actual dimensions from the media values used.

15 **A.4 Media ready**

16 A UIF Sender MUST query the potential UIF Receiver for media ready. A UIF Receiver MUST
 17 respond with the subset of media supported that is ready to print with no user intervention. The method
 18 of transport, the valid range of media, and the actual data values used to indicate ready media are
 19 protocol-specific and beyond the scope of this document; however, the Sender MUST be able to infer
 20 actual dimensions from the media values used.

21 **A.5 Image reduction supported**

22 A UIF Sender MAY query the potential UIF Receiver to determine whether or not image reduction is
 23 supported. A Receiver MUST be capable of indicating whether or not it supports image reduction. The
 24 method by which this query occurs is protocol-specific and beyond the scope of this document.

26 **A.6 Conformance Requirements Summary**

27 For the listed operations, Table ~~1820~~ below shows conformance requirements that apply to the
 28 protocol used to transport UIF data.

29 **Table ~~1820~~. Underlying Protocol Conformance.**

Operation	UIF-capable Sender	UIF-capable Receiver	Section
Receiver capabilities string	MAY	MUST	A.1
UIF Profiles supported	MUST	MUST	A.2
Media supported	MUST	MUST	A.3
Media ready	MUST	MUST	A.4
Image reduction supported	MAY	MUST	A.5

30